



Department of Defense DIRECTIVE

February 21, 1986
NUMBER 5141.5

ASD(C)

SUBJECT: Strategic Defense Initiative Organization

- References:
- (a) Secretary of Defense Memorandum, "Management of the Strategic Defense Initiative," April 24, 1984 (hereby cancelled)
 - (b) Title 10, United States Code
 - (c) National Security Decision Directive 119, "Strategic Defense Initiative," January 6, 1984
 - (d) DoD Directive 5128.1, "Assistant Secretary of Defense (Acquisition and Logistics)," November 19, 1985
 - (e) DoD Directive 5100.70, "Implementation of SAL Agreements," January 9, 1973
 - (f) Federal Acquisition Regulation 2.1, April 1, 1984, as supplemented by DoD Federal Acquisition Regulation Supplement 2.1

A. PURPOSE

This Directive:

1. Cancels reference (a).

2. Establishes, pursuant to the authority vested in the Secretary of Defense under references (b) and (c), the Strategic Defense Initiative Organization (hereinafter referred to as "SDIO") as an agency of the Department of Defense with responsibilities, functions, relationships, and authorities as prescribed herein.

B. APPLICABILITY

This Directive shall apply to the Office of the Secretary of Defense (OSD), the Military Departments, the Organization of the Joint Chiefs of Staff (OJCS), the Unified and Specified Commands, the Inspector General of the Department of Defense (IG, DoD), and the Defense Agencies, (hereinafter referred to as "DoD Components").

C. MISSION

SDIO shall manage and direct the conduct of a vigorous research program, including advanced technologies, that will provide the basis for an informed decision regarding the feasibility of eliminating the threat posed by nuclear ballistic missiles of all ranges, and of increasing the contribution of defensive systems to U.S. and allied security. The program shall protect options for near-term deployment of limited ballistic missile defenses. The program shall be carried out in full consultation and, where appropriate, with participation of our allies. The program shall be conducted in compliance with all existing treaty obligations and will emphasize non-nuclear technologies.

D. ORGANIZATION AND MANAGEMENT

1. SDIO is established as a separate agency of the Department of Defense under the direction, authority, and control of the Secretary of Defense. It shall consist of a Director, appointed by and reporting to the Secretary of Defense, and such subordinate organizational elements as are established by the Director within resources authorized by the Secretary of Defense.

2. The SDI Executive Committee (EXCOM) shall provide DoD oversight and guidance for the internal management of the Strategic Defense Initiative Program (SDIP). The EXCOM shall provide formal review of the program for the Secretary of Defense. It shall be chaired by the Deputy Secretary of Defense and shall include as members: the Chairman of the Joint Chiefs of Staff; the Secretaries of the Military Departments and Chiefs of the Military Services; the Under Secretaries of Defense; the Assistant Secretary of Defense (Comptroller) (ASD(C)); the Director, Program Analysis and Evaluation; the Chairman, Military Liaison Committee to the Department of Energy; and the Directors of the Defense Advanced Research Projects Agency and the Defense Nuclear Agency. The Director, SDIO, shall serve as Executive Secretary of the EXCOM.

E. FUNCTIONS AND RESPONSIBILITIES

1. The Director, SDIO, is the principal advisor to the Secretary of Defense for SDIP programmatic policy, requirements, priorities, systems, resources and programs. Subject to the direction of the Secretary of Defense, the DSDIO shall organize, direct, and manage the SDIO and exercise management oversight of all DoD SDIP programs and resources. In the performance of these responsibilities, the Director shall:

a. Develop programmatic policies, issue program guidance, and assign project responsibility to DoD Components.

b. Develop systems, standards, and procedures for the administration and management of approved SDI plans and programs, establish program goals and objectives, set priorities, and evaluate DoD Component SDI program activities.

c. In coordination with the Assistant Secretary of Defense (Comptroller) (ASD(C)) and Director, Program Analysis and Evaluation (DPA&E), review DoD Component SDI Program Objectives Memoranda (POMs) and budget submissions; make determinations regarding priorities and resources; prepare the consolidated SDI POM; provide recommendations on Program Budget Decisions to the ASD(C) and DPA&E for incorporation into the planning, programming, and budgeting system (PPBS) process; and initiate and implement Congressional reprogramming actions.

d. Make such determinations regarding priorities and resources as may be required to achieve approved program objectives.

e. Serve as Procurement Executive for the SDIO in accordance with DoD Directive 5128.1 (reference (d)).

f. Identify service, agency, and SDIO responsibilities for program execution, and in such cases where source-selection is not delegated to the Military Departments and Defense Agencies, retain that authority within SDIO.

g. In coordination with the Under Secretary of Defense for Research and Engineering, develop mechanisms for coordinating SDI programs with other DoD research, development, test and evaluation efforts.

h. Oversee the participation of U.S. allies in the SDIP. Through coordination with the appropriate executing agent, delegate international projects to the Military Departments or Defense Agencies.

i. Present the SDI Program Objectives Memorandum, the annual budget, and periodic program reviews to the SDIO EXCOM.

j. In consideration of Military Department and Defense Agency requirements and recommendations, plan for future transition of the SDI research program into development and subsequent production and deployment.

k. Perform research to establish supportability criteria for the integration of logistic factors with system architectures, concepts, and design activity during the early phases of the SDIP.

l. Serve as principal DoD official responsible for presenting the SDIP budget to the Congress.

m. Serve as principal public spokesperson for the SDIP.

n. Promote coordination, cooperation, and mutual understanding within the Department of Defense and between the Department of Defense and other federal agencies, and the civilian community.

o. Serve on boards, committees, and other groups pertaining to SDIO functions and responsibilities.

p. Establish internal procedures for compliance with the ABM Treaty and other SAL Agreements, pursuant to DoD Directive 5100.70 (reference (e)).

q. Perform such other duties as the Secretary of Defense may prescribe.

2. DoD Components shall provide advice and support to the DSDIO in accordance with applicable DoD Directives.

3. The Secretaries of the Military Departments and Directors of Defense Agencies shall:

a. Execute SDI programs as approved by the Secretary of Defense.

b. Establish a streamlined management structure and procedures, to include a single office of primary responsibility to facilitate expedited communications and actions on the SDI program.

c. Provide program recommendations to the Director, SDIO for consideration in the development of the SDI POM. These recommendations shall be developed as an integral part of the Military Departments/Defense Agencies POM process. The participating Military Departments and Defense Agencies must review their assigned work packages and resources to ensure that supporting Military Departments/Defense Agency requirements are adequately addressed (e.g., manpower, facilities, etc.).

i. Submit program documentation and reports required by the Director, SDIO.

e. Establish simplified contract/procurement procedures for rapid and creative methods of achieving program objectives consistent with applicable laws and good management practice.

4. The Joint Chiefs of Staff (JCS) shall provide strategic guidance and define operational concepts and requirements.

F. RELATIONSHIPS

1. In the performance of assigned functions, the Director, SDIO, shall:

a. Serve as a member of the Defense Resources Board when SDI matters are under consideration and Executive Secretary of the SDI Executive Committee.

b. Consult with the JCS and Under Secretary of Defense for Policy when addressing issues under their respective purview, to include the strategy and policy implications of defensive capabilities.

c. Establish, in consultation with the Under Secretary of Defense for Research and Engineering (USDRE), Assistant Secretary of Defense (Acquisition and Logistics), Assistant Secretary of Defense (Communications, Command, Control and Intelligence), and the Director, Operational Test and Evaluation, mechanisms for coordination of SDI programs with other DoD technical efforts.

d. Establish procedures for streamlined communication with each Military Department and Defense Agency involved in the SDI Program.

e. Maintain active liaison for the exchange of information and advice in the field of assigned responsibility with all DoD Components, other U.S. Government activities, and non-DoD research institutions (including private business entities and educational institutions).

f. Keep the Secretary of Defense, the OSD Staff, the Military Departments, the Joint Chiefs of Staff, and other DoD and non-DoD U.S. Government Agencies informed on schedules, status, and significant new developments, breakthroughs, and technological advances within assigned projects.

g. Make appropriate use of established facilities in the OSD, other DoD Components, and other Government agencies rather than unnecessarily duplicating such facilities.

2. Within available resources, officials of all DoD Components will provide support, in their respective fields of responsibility, to the Director, SDIO, as may be necessary to carry out the assigned responsibilities and functions of the SDIO.

G. AUTHORITIES

The Director, SDIO, is hereby delegated authority to:

1. Enter into and administer contracts, directly or through a Military Department, a DoD contract administration services component, or other governmental department or agency, as appropriate, for supplies, equipment, and services required to accomplish the mission of the SDIO.

2. Serve as the head of an Agency and Contracting Activity within the meaning of and subject to the limitations of FAR 2.1, and DoD FAR Supplement 2.1, (reference (f)) for the SDIO.

3. Authorize the allocation/sub-allocation of funds made available to SDIO for assigned research and development projects.

4. Acquire or construct, through a Military Department or other Government Agency, such research, development, and test facilities and equipment required to carry out assignments that may be approved by the Secretary of Defense in accordance with applicable statutes.

5. Negotiate agreements, as necessary, with other U.S. agencies and organizations to insure proper coordination and execution of the SDIP.

6. Negotiate agreements, as necessary, with foreign governments to execute allied participation in the SDI research program. These agreements will be subject to approval by duly constituted DoD authorities.

7. Recommend to the Secretary of Defense revisions or exceptions to Military Department/Defense Agency regulations, Directives, procedures or Instructions for, or related to, acquisition for individual or the class of SDIO requirements as determined necessary to accomplish the SDIO objectives.

8. Communicate directly with heads of DoD organizations, including the Secretaries of the Military Departments, the Joint Chiefs of Staff, the Directors of Defense Agencies, and, under special circumstances, with the Commanders of the Unified and Specified Commands.

9. Establish special security procedures for sensitive SDI research programs.

10. Exercise the administrative authorities contained in enclosure 1 of this Directive.

H. ADMINISTRATION

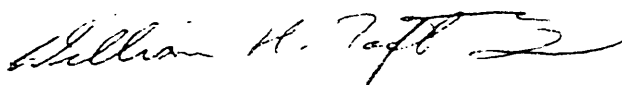
1. SDIO shall be authorized such personnel, facilities, funds, and other administrative support as the Secretary of Defense deems necessary.

2. The Military Departments shall assign personnel to SDIO in accordance with approved authorizations and procedures for assignment to joint duty.

3. Administrative support required for SDIO will be provided by the Director, Washington Headquarters Services, and other DoD Components, as appropriate.

I. EFFECTIVE DATE

This Directive is effective immediately.

A handwritten signature in cursive script, reading "William H. Taft, IV".

William H. Taft, IV
Deputy Secretary of Defense

Enclosure - 1
Delegations of Authority

DELEGATIONS OF AUTHORITY

Pursuant to the authority vested in the Secretary of Defense, and in accordance with DoD policies, Directives, and Instructions, the Director of the Strategic Defense Initiative Organization (SDIO) or, in the absence of the Director, the person acting for him or her, is hereby delegated authority, as required in the administration and operation of the Strategic Defense Initiative Organization to:

1. Perform the following functions in accordance with the provisions of Title 5, United States Code, section 7532; Executive Order 10450, "Security Requirements for Government Employment," April 27, 1953; and DoD 5200.2-R, "DoD Personnel Security Program," December 20, 1979:

a. Designate any position in the SDIO as a "sensitive" position.

b. Authorize, in case of an emergency, the appointment of a person to a sensitive position in the SDIO, for a limited period of time, for whom a full field investigation or other appropriate investigation, including the National Agency Check, has not been completed.

c. Authorize the suspension, but not the termination, of the services of an SDIO employee in the interest of national security.

2. Authorize and approve:

a. Travel for SDIO civilian employees in accordance with Volume II, Joint Travel Regulations.

b. Temporary duty travel only for military personnel assigned or detailed to SDIO in accordance with Volume I, Joint Travel Regulations.

c. Invitational travel to persons serving without compensation whose consultative, advisory, or other specialized technical services are required in a capacity directly related to, or in connection with, SDIO activities.

3. Approve the expenditure of funds available for travel by military personnel assigned or detailed to SDIO for expenses incident to attendance at meetings of technical, scientific, professional, or other similar organizations in such instances where the approval of the Secretary of Defense or his designee is required by law (37 U.S.C. 412). This authority cannot be redelegated.

4. Develop, establish, and maintain an active and continuing Records Management Program under DoD Directive 5015.2, "Records Management Program," September 17, 1980; DoD Directive 5400.7, "DoD Freedom of Information Act Program," March 24, 1980; and DoD Directive 5400.11, "Department of Defense Privacy Program," June 9, 1982.

5. Establish and use imprest funds for making small purchases of material and services, other than personal, for the SDIO when it is determined more advantageous and consistent with the best interests of the Government,

in accordance with DoD Instruction 5100.71, "Delegation of Authority and Regulations Relating to Cash Held at Personal Risk Including Imprest Funds," March 5, 1973, and the Joint Regulation of the General Services Administration/Treasury.

6. Authorize and approve overtime work for civilian personnel in SDIO in accordance with the provisions of the Federal Personnel Manual Supplement 990-1, section 550.111.

7. Establish and maintain appropriate property accounts for SDIO and appoint boards of survey, approve reports of survey, relieve personal liability, and drop accountability for SDIO property contained in the authorized property accounts that have been lost, damaged, stolen, destroyed, or otherwise rendered unserviceable, in accordance with applicable laws and regulations.

8. Establish and maintain, for the functions assigned, an appropriate publications system for the promulgation of regulations, Instructions, and reference documents, and changes thereto, pursuant to the policies and procedures prescribed in DoD 5025.1-M, "Department of Defense Directives System Procedures," April 1981.

9. Issue the necessary security regulations for protection of property and places under the jurisdiction of the SDIO, under DoD Directive 5200.8, "Security of Military Installations and Resources," July 29, 1980.

10. Exercise original TOP SECRET classification authority.

11. Establish security classification guidance and review policy.

12. In coordination with the Deputy Assistant Secretary of Defense (Administration), enter into interservice support agreements with the Military Departments, other DoD Components, or other Government agencies as required, for the effective performance of responsibilities and functions assigned to the SDIO.

13. Establish advisory committees pursuant to the provisions of the Federal Advisory Committee Act of 1972 (P.L. 92-463) and DoD Directive 5105.18, "DoD Committee Management Program," March 20, 1984.

14. Authorize the publication of advertisements, notices or proposals in newspapers, magazines, or other public periodicals as required for the effective administration and operation of SDIO (44 U.S.C. 3702).

15. Request specific Military Departments and Defense Agencies to serve as contracting activities for the SDIO, as necessary.